

ON THE REGULARITY OF  $\{\lfloor \log_b(\alpha n + \beta) \rfloor\}_{n \geq 0}$ 

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ABSTRACT. Let  $\alpha, \beta$  be real numbers and  $b \geq 2$  be an integer. Allouche and Shallit showed that the sequence  $\{\lfloor \alpha n + \beta \rfloor\}_{n \geq 0}$  is  $b$ -regular if and only if  $\alpha$  is rational. In this paper, using a base-independent regular language, we prove a similar result that the sequence  $\{\lfloor \log_b(\alpha n + \beta) \rfloor\}_{n \geq 0}$  is  $b$ -regular if and only if  $\alpha$  is rational. In particular, when  $\alpha = \sqrt{2}, \beta = 0$  and  $b = 2$ , we answer the question of Allouche and Shallit that the sequence  $\{\lfloor \frac{1}{2} + \log_2 n \rfloor\}_{n \geq 0}$  is not 2-regular, which has been proved by Bell, Moshe and Rowland respectively.

## 1. INTRODUCTION

Automatic sequence is studied by many authors both in formula language theory and number theory. It has many descriptions [3, 8, 9, 11]. One of them is that its  $k$ -kernel is finite. Precisely, we call a sequence  $\{u_n\}_{n \geq 0}$  is  $k$ -automatic if the set of subsequences  $\{\{u_{k^i n + j}\}_{n \geq 0} : i \geq 0, 0 \leq j \leq k^i - 1\}$  is finite.

But automatic sequences are defined over a finite alphabet. To overcome this limit, Allouche and Shallit [1, 2] generalized the concept of automatic sequence to regular sequence where the sequences may take infinitely many values. We call a sequence  $\{u_n\}_{n \geq 0}$  is  $k$ -regular if the  $R$ -module generated by the set  $\{\{u_{k^i n + j}\}_{n \geq 0} : i \geq 0, 0 \leq j \leq k^i - 1\}$  is finitely generated, where  $R$  is a commutative Noetherian ring.

Many properties of regular sequence have been studied [1, 2, 4, 5, 14, 15]. In [1], Allouche and Shallit proved that a sequence is  $k$ -regular and takes finitely many values if and only if it is  $k$ -automatic. Moreover, if  $\{u_n\}_{n \geq 0}$  is a  $k$ -regular sequence, they showed that there exists a constant  $c > 0$  such that  $u_n = \mathcal{O}(n^c)$ . If  $\{u_n\}_{n \geq 0}$  is unbounded, then, Bell, Coons and Hare [4] showed that there exists a constant  $c > 0$  such that  $|u_n| > c \log n$  infinitely often. Let  $\{u_n\}_{n \geq 0}$  be an integer sequence and there exist  $c, c_1, c_2 > 0$  such that  $c_1 \log n < |u_n| \leq c_2 n^c$ . It is interesting to determine the regularity of  $\{u_n\}_{n \geq 0}$ .

In 2003, Allouche and Shallit [2] listed many examples. One typical example is that the sequence  $\{\lfloor \alpha n + \beta \rfloor\}_{n \geq 0}$  is  $b$ -regular if and only if  $\alpha$  is rational, where  $\alpha, \beta \in \mathbb{R}$  and  $b \geq 2$  is an integer. And at the end of this paper, they asked a question: is the sequence  $\{\lfloor \frac{1}{2} + \log_2 n \rfloor\}_{n \geq 0}$  2-regular? Until 2008, Bell [6], Moshe [12] and Rowland [13] answered this question in different way. Moreover, Bell [6] and Moshe [12] showed the sequence  $\{\lfloor \log_b \alpha n \rfloor\}_{n \geq 0}$  is  $b$ -regular if and only if  $\alpha \in \mathbb{Q}$ .

In this paper, we give a general result and state it as follows.

**Theorem 1.** *Let  $\alpha, \beta \in \mathbb{R}$  and  $b \geq 2$  be an integer. The sequence  $\{\lfloor \log_b(\alpha n + \beta) \rfloor\}_{n \geq 0}$  is  $b$ -regular if and only if  $\alpha$  is rational.*

Clearly, for any  $\alpha, \beta \in \mathbb{R}$  and  $b \geq 2$ , there exist  $c_1, c_2 > 0$  s.t.,  $c_1 \log n < \lfloor \log_b \alpha n + \beta \rfloor \leq c_2 n$ . Taking  $\beta = 0$ , we obtain Bell and Moshe's result. Moreover, taking  $\alpha = \sqrt{2}, b = 2$ , we answer the question of Allouche and Shallit. Different

from previous proof, we construct a language by base-changing and prove that its regularity is independent of the base.

The organization of this paper is as follows. In section 2, we recall some definitions and notation. In section 3, using base-changing, we construct a language and prove that its regularity is independent of the base. In section 4, we prove Theorem 1.

## 2. PRELIMINARY

In this section, we recall some definitions and notation. More details, please see [3, 7, 10].

For any integer  $b \geq 2$ , set  $\Sigma_b := \{0, 1, \dots, b-1\}$ . Let  $\Sigma_b^k$  be the set of words of length  $k$  over  $\Sigma_b$  and  $\Sigma_b^* = \bigcup_{k \geq 0} \Sigma_b^k$ . If  $w \in \Sigma_b^*$ , then its length is denoted by  $|w|$ . If  $|w| = 0$ , then  $w$  is called to be the empty word, denoted by  $\epsilon$ . For any finite words  $u = u_0u_1 \dots u_m, v = v_0v_1 \dots v_n$ , their *concatenation*, denoted by  $uv$ , is  $u_0u_1 \dots u_m v_0v_1 \dots v_n$ . For any word  $u, v \in \Sigma_b^*$  with  $|v| \geq 1$ , we always call that the word  $v$  is a *suffix* of word  $uv$ . Clearly that the set  $\Sigma_b^*$  together with concatenation forms a monoid, where the empty  $\epsilon$  playing the part of the identity element.

Let  $n \geq 0$  be an integer, then there is an unique representation of the form  $n = \sum_{i=0}^m u_i b^i$  with  $u_m \neq 0$  and  $u_i \in \Sigma_b$ . We call  $u_m u_{m-1} \dots u_0$  is its *b-ary expansion*, denoted by  $(n)_b$ . Conversely, for any finite word  $W = w_0w_1 \dots w_n$ , we define an integer  $[W]_b = [w_0w_1 \dots w_n]_b := \sum_{i=0}^n w_i b^{n-i}$ . Note that for any integer  $n \geq 0$ ,  $[(n)_b]_b = n$ . For any real number  $r$ , the symbols  $\lfloor r \rfloor, \{r\}$  denote its integral part and its fraction part respectively.

A subset of  $\Sigma_b^*$  is called to be a language. Since a language is a set of words, we can apply all the usual set operations like union, intersection or set difference:  $\cup, \cap$  or  $\setminus$ . If  $L_1, L_2$  are languages, then their *concatenation* is  $L_1L_2 := \{uv : u \in L_1, v \in L_2\}$ . Set  $L^0 = \{\epsilon\}$ , then the *Kleene star* of the language  $L$  is defined as  $L^* := \bigcup_{n \geq 0} L^n$ . If a language  $L$  can be obtained by applying to some finite languages: a finite number of operations of union, concatenation and Kleene star, then this language is said to be a *regular language*. Note that any finite set is a regular language and regular language is closed under intersections, unions and complements<sup>1</sup>. Moreover, if  $\sigma$  is a homomorphism and  $L$  is regular, then

$$\sigma(L) := \{\sigma(w) : w \in L\}, \quad \sigma^{-1}(L) := \{w : \sigma(w) \in L\}$$

are both regular.

A set  $S \subseteq \mathbb{N}$  of integers is *b-recognisable* if the language  $\{(n)_b : n \in S\}$  is regular. Observe that a set  $S$  is *b-recognisable* if and only if its characteristic word

$$\chi_S(n) = \begin{cases} 1, & \text{if } n \in S; \\ 0, & \text{otherwise.} \end{cases}$$

is *b-automatic*.

The following lemma, known as the pumping lemma, gives an important description of regular language.

**Lemma 1** (Pumping Lemma). *Let  $L \subseteq \Sigma_b^*$  be a regular language. Then there exists a constant  $n \geq 1$  such that for all strings  $Z \in L$  with  $|Z| \geq n$ , there exists a decomposition  $Z = UVW$ , where  $U, V, W \in \Sigma_b^*$  and  $|UV| \leq n$  and  $|V| \geq 1$ , such that  $UV^iW \in L$  for all  $i \geq 0$ .*

<sup>1</sup>Let  $L \subseteq \Sigma^*$  be a language, its complement is defined as  $\overline{L} = \Sigma^* \setminus L$ .

## 3. BASE-INDEPENDENT REGULAR LANGUAGE

Let  $B \geq 2$  be an integer and  $\mathbf{u} = \{u_i\}_{i \geq 0}$  be an integer sequence with  $u_i \in \{0, 1, \dots, B-1\}$  for all  $i \geq 0$ . For any integer  $b \geq 2$ , define a language

$$L_b(\mathbf{u}) := \{([u_0 u_1 u_2 \dots u_n]_b) \in \Sigma_b^* : n \geq 0\}.$$

In this section, we study the language  $L_b(\mathbf{u})$  which is constructed from a sequence by base-changing. Note that if  $B \leq b$ , then  $([u_0 u_1 u_2 \dots u_n]_b)_b = u_0 u_1 u_2 \dots u_n$  for any integer  $n \geq 0$ . Using pumping lemma, Moshe proved in [12] that the language  $\{u_0 u_1 u_2 \dots u_n : n \geq 0\}$  is regular if and only if  $\mathbf{u}$  is ultimately periodic. In this paper, we prove that the regularity of  $L_b(\mathbf{u})$  is independent of the base  $b$ .

**Theorem 2.** *For any integer  $b \geq 2$ , the language  $L_b(\mathbf{u})$  is regular if and only if the sequence  $\mathbf{u}$  is ultimately periodic.*

**Corollary 1.** *For any finite word  $W \in \Sigma_b^*$ ,  $L_b(\mathbf{u})$  is regular if and only if  $L_b(W\mathbf{u})$  is regular.*

*Proof of Theorem 2.* If  $\mathbf{u}$  is a ultimately periodic sequence, then we obtain easily that  $L_b(\mathbf{u})$  is regular. Conversely, fix  $b$  and assume  $L_b(\mathbf{u})$  is regular, we will prove  $\mathbf{u}$  is ultimately periodic.

Let  $v_n = [u_0 u_1 u_2 \dots u_n]_b$  for all  $n \geq 0$ . We claim that there exists an integer  $N$  such that

$$(3.1) \quad |(v_{n+1})_b| = |(v_n)_b| + 1, \quad n > N.$$

Set  $C := \lfloor \frac{B-1}{b-1} \rfloor + 1$ , choose a large integer  $K$  satisfying  $b^{K+1} - b + B \leq b^{K+2} - C$ . If there exist infinitely many  $N$  such that  $|(v_{N+1})_b| - |(v_N)_b| \geq 2$ , then there exists an integer  $N$  such that  $b^{K-1} \leq v_N \leq b^K - 1$  but  $v_{N+1} \geq b^{K+1}$ . Hence we have  $b^{K+1} \leq v_{N+1} = bv_N + u_{N+1} \leq b^{K+1} - b + u_{N+1} \leq b^{K+1} - b + B \leq b^{K+2} - C$ . By the choice of  $C$ , it is easy to check that  $b^{K+i} \leq v_{N+i} \leq b^{K+i+1} - C$  for all  $i \geq 1$ . Thus, the claim (3.1) holds.

Claim (3.1) tells us that for any large integer  $n$ , there is exactly one word with length  $n$  in  $L$ . Since  $L_b(\mathbf{u}) = \{(v_n)_b : n \geq 0\}$  is regular, by pumping lemma, there exists an integer  $q$ , for any word  $Z \in L_b(\mathbf{u})$  with  $|Z| \geq q$ , there exists a decomposition  $Z = V_0 V_1 V_2$  with  $|V_1| \geq 1$ ,  $|V_0 V_1| \leq q$ , such that  $V_0 V_1^n V_2 \in L_b(\mathbf{u})$  for all  $n \geq 0$ . Hence, there exists an increasing integer sequence  $\{s_n\}_{n \geq 0}$  such that

$$(3.2) \quad (v_{s_n})_b = ([u_0 u_1 u_2 \dots u_{s_n}]_b)_b = V_0 V_1^n V_2, \quad n \geq 0.$$

Assume  $|V_1| = p$ , by claim (3.1) and formula (3.2), we have if  $s_n \geq N$ , then  $s_{n+1} = s_n + p$ . Hence, for any large integer  $n$ , there exists  $n_0$  and  $0 \leq i \leq p-1$  such that  $n = s_{n_0} + i$ . Note that  $v_{s_{n_0}+i} = b^i v_{s_{n_0}} + [u_{s_{n_0}+1} \dots u_{s_{n_0}+i}]_b$  and  $(v_{s_{n_0}})_b = V_0 V_1^{n_0} V_2$ . Thus, each sufficient long word  $(v_{s_{n_0}+i})_b$  has the form  $W_0 W_1^m W_2$ , where  $m \leq n_0$  is maximal and  $|W_0| = |V_0|$ ,  $|W_1| = |V_1| = p$ ,  $|W_2| = (n_0 - m)p + |V_2| + i$ .

Since there are finitely many values of  $[u_{s_{n_0}+1} \dots u_{s_{n_0}+i}]_b$  for  $0 \leq i < p$ , there are finitely many forms of  $W_0, W_1, W_2$ . For simplicity, we assume  $p = 2$  and  $u_{s_{n_0}+1} \in \{\alpha, \beta\} \subseteq \{0, 1, \dots, B-1\}$ . Suppose that  $(v_{s_n})_b = V_0 V_1^n V_2 \subseteq \Sigma_b^*$  for all  $n \geq 0$ , then we assume  $(bv_{s_n} + \alpha)_b = U_0 U_1^m U_2 \subseteq \Sigma_b^*$  for some  $m$  and  $(bv_{s_n} + \beta)_b = Q_0 Q_1^m Q_2 \subseteq \Sigma_b^*$  for some  $m$ . Note that  $|U_0| = |Q_0| = |V_0|$  and  $|U_1| = |Q_1| = |V_1| = 2$ . Hence, each sufficiently long word of  $L_b(\mathbf{u})$  can be written as one of following forms:  $V_0 V_1^n V_2$ ,  $U_0 U_1^n U_2$ , or  $Q_0 Q_1^n Q_2$ .

If a language differs only in finitely many elements from a regular language, then it is also regular. Hence, without loss of generality, we assume all words of  $L_b(\mathbf{u})$  have one of following forms:  $V_0V_1^nV_2, U_0U_1^nU_2$ , or  $Q_0Q_1^nQ_2$ . Define a morphism  $\sigma$  from  $\{a, c, d, e, f, g, x, y, z\}$  to  $\Sigma_b^*$  by  $a \mapsto U_0, c \mapsto U_1, d \mapsto U_2, e \mapsto V_0, f \mapsto V_1, g \mapsto V_2, x \mapsto Q_0, y \mapsto Q_1, z \mapsto Q_2$ . If  $U_0U_1^mU_2 \in L_b(\mathbf{u})$ , then the word  $ac^m d$  appears in  $\sigma^{-1}(L_b(\mathbf{u}))$ . Note that  $\sigma^{-1}(L_b(\mathbf{u}))$  is regular, since  $L_b(\mathbf{u})$  is regular. By pumping lemma, if  $ac^m d \in \sigma^{-1}(L_b(\mathbf{u}))$ , then there exists a decomposition  $ac^m d = (ac^r)(c^s)(c^{m-r-s}d)$ , and  $(ac^r)((c^s)^n)(c^{m-r-s}d) \in \sigma^{-1}(L_b(\mathbf{u}))$  for all  $n \geq 0$ , i.e.,  $U_0U_1^{m+(n-1)s}U_2 \in L_b(\mathbf{u})$  for all  $n \geq 0$ . In other words, if  $u_{s_{n_0}+1} = \alpha$  for some  $n_0$ , then  $u_{s_{n_0}+2n+1} = \alpha$  for all  $n \geq 0$ , i.e.,  $\alpha$  appears periodically with period  $2s$ . Similarly,  $\beta$  also appears periodically. Thus,  $\{u_{s_n+1}\}_{n \geq 0}$  is ultimately periodic, since  $s_{n+1} = s_n + 2$ . Note from formula (3.2) that

$$[u_{s_n+1}u_{s_{n+1}}]_b = [V_0V_1^{n+1}V_2]_b - b^2[V_0V_1^nV_2]_b = [V_1V_2]_b - b^2[V_2]_b$$

is constant for  $n$  is large enough. So  $\{u_{s_n+2}\}_{n \geq 0}$  is also ultimately periodic. Hence the sequence  $\mathbf{u} = \{u_n\}_{n \geq 0}$  is ultimately periodic.  $\square$

In fact, Theorem 2 gives a method to prove that a language is non-regular. We give an example to end this section.

**Example 1.** Let  $\mathbf{u}$  be a Thue-Morse block sequence over  $\{A, B\}$ , where  $A = 10, B = 02$ , i.e.,  $\mathbf{u} = u_0u_1u_2\cdots = 1002021002101002\cdots$ . Clearly,  $\mathbf{u}$  is non-ultimately periodic. Hence, by Theorem 2, the language  $L_2(\mathbf{u})$  is not regular.

#### 4. PROOF OF THEOREM 1

Let  $\alpha, \beta \in \mathbb{R}$  and  $b \geq 2$  be an integer, assume  $\beta < \alpha < b$ . For any integer  $k \geq 1$ , define

$$(4.1) \quad r_k := \left\lfloor b \left\{ \frac{b^k - \beta}{\alpha} \right\} + (b-1) \left\{ \frac{\beta}{\alpha} \right\} \right\rfloor.$$

In this section, we firstly study the non-negative integer sequence  $\{r_k\}_{k \geq 1}$ .

Note that  $\left\{ \frac{\beta}{\alpha} \right\} = \frac{\beta}{\alpha}$ . Assume  $\frac{1}{\alpha}, \frac{\beta}{\alpha}$  can be represented in the following forms:

$$\frac{1}{\alpha} := \left\lfloor \frac{1}{\alpha} \right\rfloor + \sum_{i \geq 1} \frac{\alpha_i}{b^i}, \quad \frac{\beta}{\alpha} := \sum_{i \geq 1} \frac{\beta_i}{b^i},$$

where  $\alpha_i, \beta_i \in \Sigma_b$  for all  $i \geq 1$ . For any integer  $k \geq 0$ , define a proposition, denoted by  $P_k$ ,

$$P_k : 0.\alpha_{k+1}\alpha_{k+2}\cdots := \sum_{i \geq 1} \frac{\alpha_{k+i}}{b^i} \geq 0.\beta_1\beta_2\cdots := \sum_{i \geq 1} \frac{\beta_i}{b^i}.$$

Then its converse proposition, denoted by  $\overline{P_k}$ , is

$$\overline{P_k} : 0.\alpha_{k+1}\alpha_{k+2}\cdots := \sum_{i \geq 1} \frac{\alpha_{k+i}}{b^i} < 0.\beta_1\beta_2\cdots := \sum_{i \geq 1} \frac{\beta_i}{b^i}.$$

**Lemma 2.** For any integer  $k \geq 1$ , we have

$$r_k = \begin{cases} \alpha_{k+1}, & \text{if } P_k, P_{k+1} \text{ hold;} \\ \alpha_{k+1} - 1, & \text{if } P_k, \overline{P_{k+1}} \text{ hold;} \\ b + \alpha_{k+1}, & \text{if } \overline{P_k}, P_{k+1} \text{ hold;} \\ b + \alpha_{k+1} - 1, & \text{if } \overline{P_k}, \overline{P_{k+1}} \text{ hold.} \end{cases}$$

*Proof.* By the representations of  $\frac{1}{\alpha}, \frac{\beta}{\alpha}$ , it is easy to check that for any  $k \geq 1$ ,

$$\left\{ \frac{b^k - \beta}{\alpha} \right\} = \begin{cases} 0.\alpha_{k+1}\alpha_{k+2}\cdots - 0.\beta_1\beta_2\cdots, & \text{if } P_k \text{ holds,} \\ 1.\alpha_{k+1}\alpha_{k+2}\cdots - 0.\beta_1\beta_2\cdots, & \text{if } \overline{P}_k \text{ holds,} \end{cases}$$

which implies that

$$b \left\{ \frac{b^k - \beta}{\alpha} \right\} + (b-1) \left\{ \frac{\beta}{\alpha} \right\} = \begin{cases} \alpha_{k+1}\alpha_{k+2}\cdots - 0.\beta_1\beta_2\cdots, & \text{if } P_k \text{ holds,} \\ b + \alpha_{k+1}\alpha_{k+2}\cdots - 0.\beta_1\beta_2\cdots, & \text{if } \overline{P}_k \text{ holds.} \end{cases}$$

Hence,  $r_k = \alpha_{k+1}$  if and only if  $P_k, P_{k+1}$  both hold. Similarly, the other cases can be easily obtained.  $\square$

**Remark 1.**  $r_k \in \Sigma_{2b-1}$  for all integers  $k \geq 1$ . Moreover, if  $r_k = \alpha_{k+1} - 1$ , then  $\alpha_{k+1} \geq 1$ . Hence,  $(\alpha_{k+1} - 1)_b = \alpha_{k+1} - 1$ .

*Proof.* If  $r_k = \alpha_{k+1} - 1$ , then both  $P_k$  and  $\overline{P}_{k+1}$  hold. Hence,  $\sum_{i \geq 1} \frac{\alpha_{k+i}}{b^i} \geq \sum_{i \geq 1} \frac{\beta_i}{b^i} > \sum_{i \geq 1} \frac{\alpha_{k+i+1}}{b^i}$ . So, we have  $\sum_{i \geq 1} \frac{\alpha_{k+i} - \alpha_{k+i+1}}{b^i} > 0$ , which implies that  $\alpha_{k+1} \geq 1$ .  $\square$

**Lemma 3.** Let  $k \geq 1$  be an integer, then we have

- (1)  $r_k \in \{\alpha_{k+1}, b + \alpha_{k+1}\}$  if and only if  $r_{k+1} \in \{\alpha_{k+2}, \alpha_{k+2} - 1\}$ .
- (2)  $r_k \in \{\alpha_{k+1} - 1, b + \alpha_{k+1} - 1\}$  if and only if  $r_{k+1} \in \{b + \alpha_{k+2}, b + \alpha_{k+2} - 1\}$ .

*Proof.* By Lemma 2, it is clear that for any integer  $k \geq 0$ ,  $r_k \in \{\alpha_{k+1}, \alpha_{k+1} - 1\}$  if and only if  $P_k$  holds,  $r_k \in \{\alpha_{k+1}, b + \alpha_{k+1}\}$  if and only if  $P_{k+1}$  holds,  $r_k \in \{\alpha_{k+1} - 1, b + \alpha_{k+1} - 1\}$  if and only if  $\overline{P}_{k+1}$  holds,  $r_k \in \{b + \alpha_{k+1}, b + \alpha_{k+1} - 1\}$  if and only if  $\overline{P}_k$  holds.  $\square$

**Lemma 4.** Let  $k \geq 1$  be an integer, then we have

- (1) If  $r_k \in \{\alpha_{k+1}, b + \alpha_{k+1}\}$ , then

$$([r_1 r_2 \cdots r_k]_b)_b \in \{\alpha_2 \cdots \alpha_k \alpha_{k+1}, 1\alpha_2 \cdots \alpha_k \alpha_{k+1}\}.$$

- (2) If  $r_k = \alpha_{k+1} - 1$ , then

$$([r_1 r_2 \cdots r_k]_b)_b \in \{\alpha_2 \cdots \alpha_k (\alpha_{k+1} - 1), 1\alpha_2 \cdots \alpha_k (\alpha_{k+1} - 1)\}.$$

- (3) If  $r_k = b + \alpha_{k+1} - 1$  with  $\alpha_{k+1} \geq 1$ , then

$$([r_1 r_2 \cdots r_k]_b)_b \in \{\alpha_2 \cdots \alpha_k (\alpha_{k+1} - 1), 1\alpha_2 \cdots \alpha_k (\alpha_{k+1} - 1)\}.$$

- (4) If  $r_k = b + \alpha_{k+1} - 1$  with  $\alpha_{k+1} = 0$ , then

$$([r_1 r_2 \cdots r_k]_b + 1)_b \in \{\alpha_2 \cdots \alpha_k \alpha_{k+1}, 1\alpha_2 \cdots \alpha_k \alpha_{k+1}\}.$$

*Proof.* We prove this lemma by induction on  $k$ . By Lemma 2, it is easy to check that the three assertions are true for  $k = 1, 2$ . Now assume it is true for any  $k \leq m$ , then we consider the case  $k = m + 1$ .

- If  $r_{m+1} \in \{\alpha_{m+2}, \alpha_{m+2} - 1\}$ , then by Lemma 3,  $r_m \in \{\alpha_{m+1}, b + \alpha_{m+1}\}$ .
  - If  $r_{m+1} = \alpha_{m+2}$ , then  $(r_{m+1})_b = \alpha_{m+2}$  and  $([r_1 \cdots r_{m+1}]_b)_b = ([r_1 \cdots r_m]_b)_b (r_{m+1})_b \in \{\alpha_2 \cdots \alpha_{m+2}, 1\alpha_2 \cdots \alpha_{m+2}\}$  by induction.
  - If  $r_{m+1} = \alpha_{m+2} - 1$ , then  $(r_{m+1})_b = \alpha_{m+2} - 1$  and  $([r_1 \cdots r_{m+1}]_b)_b = ([r_1 \cdots r_m]_b)_b (r_{m+1})_b \in \{\alpha_2 \cdots (\alpha_{m+2} - 1), 1\alpha_2 \cdots (\alpha_{m+2} - 1)\}$  by induction.

- If  $r_{m+1} \in \{b + \alpha_{m+2}, b + \alpha_{m+2} - 1\}$ , then by Lemma 3, we have  $r_m \in \{\alpha_{m+1} - 1, b + \alpha_{m+1} - 1\}$ . By induction, we note that

$$(4.2) \quad ([r_1 r_2 \cdots r_m]_b + 1)_b \in \{\alpha_2 \cdots \alpha_{m+1}, 1\alpha_2 \cdots \alpha_{m+1}\}.$$

- If  $r_{m+1} = b + \alpha_{m+2}$ , then  $(r_{m+1})_b = 1\alpha_{m+2}$ . Hence, by formula (4.2), we have  $([r_1 \cdots r_{m+1}]_b)_b = ([r_1 \cdots r_m]_b + 1)_b \alpha_{m+2} \in \{\alpha_2 \cdots \alpha_{m+2}, 1\alpha_2 \cdots \alpha_{m+2}\}$ .
- If  $r_{m+1} = b + \alpha_{m+2} - 1$  with  $\alpha_{m+2} \geq 1$ , then  $(r_{m+1})_b = 1(\alpha_{m+2} - 1)$ . Hence, by formula (4.2), we have  $([r_1 \cdots r_{m+1}]_b)_b = ([r_1 \cdots r_m]_b + 1)_b (\alpha_{m+2} - 1) \in \{\alpha_2 \cdots (\alpha_{m+2} - 1), 1\alpha_2 \cdots (\alpha_{m+2} - 1)\}$ .
- If  $r_{m+1} = b + \alpha_{m+2} - 1$  with  $\alpha_{m+2} = 0$ , then  $(r_{m+1} + 1)_b = 1\alpha_{m+2}$ . Hence, by formula (4.2), we have  $([r_1 \cdots r_{m+1}]_b + 1)_b = ([r_1 \cdots r_m]_b + 1)_b \alpha_{m+2} \in \{\alpha_2 \cdots \alpha_{m+2}, 1\alpha_2 \cdots \alpha_{m+2}\}$ .

Thus, the assertions are true for  $k = m + 1$ , which completes this proof.  $\square$

**Lemma 5.** *The sequence  $\{r_k\}_{k \geq 1}$  is ultimately periodic if and only if  $\alpha$  is rational.*

*Proof.* If  $r_k = b + \alpha_{k+1} - 1$  with  $\alpha_{k+1} = 0$  for all  $k \geq N$  for some  $N$ , then  $\alpha_k$  is ultimately periodic and  $\alpha$  is rational. If there exist infinitely many  $k$  such that  $r_k \neq b + \alpha_{k+1} - 1$  with  $\alpha_{k+1} = 0$ , then, by Lemma 4,

$$([r_1 \cdots r_k]_b)_b \in \{\alpha_2 \cdots \alpha_k \alpha_{k+1}, \alpha_2 \cdots \alpha_k (\alpha_{k+1} - 1), 1\alpha_2 \cdots \alpha_k \alpha_{k+1}, 1\alpha_2 \cdots \alpha_k (\alpha_{k+1} - 1)\}.$$

Hence, if the sequence  $\{r_k\}_{k \geq 1}$  is ultimately periodic, then the sequence  $\{\alpha_k\}_{k \geq 2}$  is also ultimately periodic, which implies that  $\alpha$  is rational.

Conversely, if  $\alpha = \frac{p}{q}$ , where  $p, q$  are integers and  $(p, q) = 1$ , then,

$$r_k = \left\lfloor b \left\{ \frac{qb^k - q\beta}{p} \right\} + (b-1) \frac{q\beta}{p} \right\rfloor.$$

If  $b^i \equiv b^j \pmod{p}$ , then  $\left\{ \frac{qb^i - q\beta}{p} \right\} = \left\{ \frac{qb^j - q\beta}{p} \right\}$ , i.e.,  $r_i = r_j$ . Hence, the sequence  $\{r_k\}_{k \geq 1}$  is ultimately periodic.  $\square$

Using Lemma 5 and Theorem 2, we are going to prove Theorem 1.

*Proof of Theorem 1.* Let  $u_n = \lfloor \log_b(n\alpha + \beta) \rfloor$ . Without loss of generality, we assume  $\beta < \alpha < b$ . In fact, if  $\beta \geq \alpha$ , i.e.,  $\beta = m\alpha + r$  for some integer  $m \geq 1$  and  $0 \leq r < \alpha$ , then  $\lfloor \log_b(n\alpha + \beta) \rfloor = \lfloor \log_b((n+m)\alpha + r) \rfloor$ . If  $\alpha \geq b$ , i.e.,  $b^m \leq \alpha < b^{m+1}$  for some integer  $m \geq 1$ , then  $\frac{\alpha}{b^m} < b$  and  $\lfloor \log_b(n\alpha + \beta) \rfloor = \lfloor m + \log_b(n\frac{\alpha}{b^m} + \frac{\beta}{b^m}) \rfloor = m + \lfloor \log_b(n\frac{\alpha}{b^m} + \frac{\beta}{b^m}) \rfloor$ .

Set  $v_n := u_{n+1} - u_n$ , then

$$v_n \leq \log_b((n+1)\alpha + \beta) - \log_b(n\alpha + \beta) + 1 = \log_b \frac{(n+1)\alpha + \beta}{n\alpha + \beta} + 1.$$

When  $n$  tends to infinite,  $\log_b \frac{(n+1)\alpha + \beta}{n\alpha + \beta}$  tends to 0. Thus, there exists an integer  $N_0 > 1$  such that  $v_n \leq 1$  for all  $n > N_0$ , i.e.,  $v_n \in \{0, 1\}$  for all  $n > N_0$ . It is easy to check that  $v_n = 1$  if and only if there exists an unique integer  $k$  satisfying  $k - 1 \leq \log_b(n\alpha + \beta) < k \leq \log_b((n+1)\alpha + \beta) < k + 1$  if and only if  $n \in [\frac{b^k - \beta}{\alpha} - 1, \frac{b^{k+1} - \beta}{\alpha})$ . If there exist two integers  $k_1, k_2$  such that  $\frac{b^{k_1} - \beta}{\alpha} = m_1$  and

$\frac{b^{k_2} - \beta}{\alpha} = m_2$  for some integers  $m_1, m_2$ , then  $\alpha = \frac{b^{k_2} - b^{k_1}}{m_2 - m_1} \in \mathbb{Q}$ . Hence, we always assume  $\frac{b^k - \beta}{\alpha}$  are not integers for all  $k$  and  $v_n = 1$  if and only if  $n = \lfloor \frac{b^k - \beta}{\alpha} \rfloor$ .

If a sequence differs only in finitely many elements from a regular (resp. automatic) sequence, then it is also regular (resp. automatic). Hence, without loss of generality, we assume

$$v_n = \begin{cases} 1, & \text{if } \exists k \geq 1 \text{ such that } n = \lfloor \frac{b^k - \beta}{\alpha} \rfloor; \\ 0, & \text{otherwise.} \end{cases}$$

For any integer  $k \geq 1$ , let  $c_k := \lfloor \frac{b^k - \beta}{\alpha} \rfloor$ . It is easy to check that  $c_{k+1} = bc_k + r_k$ , where  $r_k$  is defined in formula (4.1). Note that  $c_k \geq c_1 \geq 0$  for all  $k \geq 1$ . Hence, for any integer  $b, k \geq 2$ , we have  $c_k = [c_1 r_1 \cdots r_{k-1}]_b$ .

Define a language  $L := \{(c_k)_b : k \geq 1\}$ , then

$$(4.3) \quad L = \{([c_1 r_1 r_2 \cdots r_k]_b)_b : k \geq 1\} \cup \{(c_1)_b\}.$$

Note in [1] that the running-sum of  $k$ -regular sequences is also  $k$ -regular and a  $k$ -regular sequence taking finitely many values if and only if it is  $k$ -automatic. Hence, by Theorem 2, Lemma 5 and formula (4.3), we have

$$\begin{aligned} \{u_n\}_{n \geq 0} \text{ is regular} &\Leftrightarrow \{v_n\}_{n \geq 0} \text{ is automatic} \\ &\Leftrightarrow \{c_k : k \geq 1\} \text{ is recognisable} \\ &\Leftrightarrow L = \{(c_k)_b : k \geq 1\} \text{ is regular} \\ &\Leftrightarrow \{([c_1 r_1 r_2 \cdots r_k]_b)_b : k \geq 1\} \text{ is regular} \\ &\Leftrightarrow \{r_k\}_{k \geq 1} \text{ is ultimately periodic} \\ &\Leftrightarrow \alpha \in \mathbb{Q}, \end{aligned}$$

which completes our proof.  $\square$

For any integer  $k \geq 0$ , define  $f_k = \#\{n : \lfloor \log_b(\alpha n + \beta) \rfloor = k\}$ , where  $\#$  denotes the cardinality of a set. Then we have the following description of  $f_k$ .

**Theorem 3.** *The sequence  $\{f_{k+1} - bf_k\}_{k \geq 0}$  is ultimately periodic if and only if  $\alpha \in \mathbb{Q}$ .*

*Proof.* Let  $u_n = \lfloor \log_b(\alpha n + \beta) \rfloor$  and  $v_n = u_{n+1} - u_n$ . By Theorem 1, there exists an integer  $n_0$  such that  $v_n \in \{0, 1\}$  for all  $n \geq n_0$ . Hence, there exists an integer  $m_0$  such that for any integer  $k > u_{n_0}$ ,  $f_k = c_{k+m_0+1} - c_{k+m_0}$ . Note that for any  $k \geq 1$ ,  $c_{k+1} - bc_k = r_k$ , where  $r_k$  is defined by formula 4.1. Thus, for any integer  $k > u_{n_0}$ , we have

$$(4.4) \quad \begin{aligned} f_{k+1} - bf_k &= c_{k+m_0+2} - c_{k+m_0+1} - b(c_{k+m_0+1} - c_{k+m_0}) \\ &= r_{k+m_0+1} - r_{k+m_0}. \end{aligned}$$

If  $\alpha \in \mathbb{Q}$ , then, by Lemma 5,  $\{r_k\}_{k \geq 1}$  is ultimately periodic. Hence, by formula (4.4), The sequence  $\{f_{k+1} - bf_k\}_{k \geq 0}$  is ultimately periodic.

On the other hand, let  $d_k = f_{k+1} - bf_k$ , assume  $\{d_k\}_{k \geq 0}$  is ultimately periodic, i.e.,  $\exists N, p$  such that  $d_{i+p} = d_i$  for all  $i \geq N$ . Since finite terms do not change the periodic property of sequence, by formula (4.4), we assume  $d_k = r_{k+m_0+1} - r_{k+m_0}$  for all  $k \geq 0$ . Hence, for any integer  $k \geq 0$ ,  $r_{k+m_0+1} = r_{m_0} + \sum_{i \geq 0}^k d_i$ .

Now, consider the sequence  $\{\sum_{i \geq 0}^k d_i\}_{k \geq 0}$ . Since for any  $k \geq 1$ ,  $0 \leq r_k \leq 2b - 1$ , we assume  $\sum_{i \geq 0}^k d_i = r_{k+m_0+1} - r_{m_0}$  takes at most  $M$  values. Then, there must

be two elements of  $\sum_{i=0}^{N+jp-1} d_i (0 \leq j \leq M)$  are the same. In other words, there exist two integers  $0 \leq s < t \leq M$  such that  $\sum_{i=0}^{N+sp-1} d_i = \sum_{i=0}^{N+tp-1} d_i$ . Hence, the sequence  $\{\sum_{i=0}^k d_i\}_{k \geq 0}$  is ultimately periodic, which implies that  $\{r_k\}_{k \geq 1}$  is ultimately periodic. Thus,  $\alpha \in \mathbb{Q}$ .  $\square$

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